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WEST VIRGINIA LEGISLATURE STATE

SEVENTY-NINTH LEGISLATURE REGULAR SESSION, 2009

SIG 515

ENROLLED Senate Bill No. 515

(By Senators Jenkins, Foster, Minard, Stollings, Wells, Caruth, Sypolt and Kessler)

[Passed April 11, 2009; in effect ninety days from passage.]

FILED

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OFFICE WEST WRGINIA SECRETARY OF STATE

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(BY SENATORS JENKINS, FOSTER, MINARD, STOLLINGS, Wells, Caruth, Sypolt and Kessler)

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AN ACT to amend the Code of West Virginia, 1931, as amended, by adding thereto a new chapter, designated §44C-1-1, §44C-1-2, §44C-1-3, §44C-1-4, §44C-1-5, §44C-1-6, §44C-2-1, §44C-2-2, §44C-2-3, §44C-2-4, §44C-2-5, §44C-2-6, §44C-2-7, §44C-2-8, §44C-3-1, §44C-3-2, §44C-4-1, §44C-4-2, §44C-4-3, §44C-5-1, §44C-5-2 and §44C-5-3, all relating to enactment of the Uniform Adult Guardianship and Protective Proceedings Jurisdiction Act; defining terms; authorizing a court in this state to treat a foreign country as if it were a state; allowing communication and cooperation between courts for pending guardianship protective proceedings; providing fortaking testimony of a witness in another state; establishing jurisdictional basis for guardianship and protective proceedings; providing guidance for determination of jurisdiction between states; providing for special jurisdiction in certain situations; providing for exclusive and continuing jurisdiction for a court that appointed a guardian or issued a protective 2

order; providing criteria for determining the appropriate forum for guardianship and protective proceedings; authorizing a court to decline jurisdiction because of unjustifiable conduct; providing for additional notice of proceedings between states; determining jurisdiction when there are proceedings in more than one state; providing for transfer of guardianship or conservatorship to another state; providing criteria for accepting transfer of guardianship or conservatorship from another state; providing for registration of guardianship and protective orders; providing that registration of a guardianship or protective order from another state allows the guardian or conservator to exercise his or her powers as allowed by law in this state; requiring consideration of the need to promote uniformity of the law when applying and construing this act; modifying, limiting and superceding certain provisions of the federal Electronic Signatures in Global and National Commerce Act; providing that this act applies to certain guardianship and protective proceedings begun on or after passage of the act; and providing that this act applies to certain guardianship and protective proceedings regardless of when they were begun.

Be it enacted by the Legislature of West Virginia:

That the Code of West Virginia, 1931, as amended, be amended by adding thereto a new chapter, designated §44C-1-1, §44C-1-2, §44C-1-3, §44C-1-4, §44C-1-5, §44C-1-6, §44C-2-1, §44C-2-2, §44C-2-3, §44C-2-4, §44C-2-5, §44C-2-6, §44C-2-7, §44C-2-8, §44C-3-1, §44C-3-2, §44C-4-1, §44C-4-2, §44C-4-3, §44C-5-1, §44C-5-2 and §44C-5-3, all to read as follows:

CHAPTER 44C. UNIFORM ADULT GUARDIANSHIP AND PROTECTIVE PROCEEDINGS JURISDICTION ACT.

ARTICLE 1. GENERAL PROVISIONS.

§44C-1-1. Short title.

1 This chapter may be cited as the Uniform Adult Guard-

2 ianship and Protective Proceedings Jurisdiction Act and is

3 cited in this chapter as "this act".

§44C-1-2. Definitions.

1 For purposes of this chapter:

2 (1) "Adult" means an individual who has attained3 eighteen years of age.

4 (2) "Conservator" means a person appointed by the 5 court to administer the property of an adult, including a 6 person appointed under section one, article one, chapter 7 forty-four-a of this code.

8 (3) "Emergency" means a circumstance that likely will 9 result in substantial harm to a respondent's health, safety 10 or welfare and for which the appointment of a guardian is 11 necessary because no other person has authority and is 12 willing to act on the respondent's behalf.

(4) "Guardian" means a person appointed by the court
to make decisions regarding the person of an adult,
including a person appointed under article two, chapter
forty-four-a of this code.

17 (5) "Guardianship order" means an order appointing a18 guardian.

(6) "Guardianship proceeding" means a judicial pro-ceeding in which an order for the appointment of aguardian is sought or has been issued.

(7) "Home state" means the state in which the respondent was physically present, including any period of
temporary absence, for at least six consecutive months
immediately before the filing of a petition for a protective
order or the appointment of a guardian; or if none, the
state in which the respondent was physically present,
including any period of temporary absence, for at least six

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29 consecutive months ending within the six months prior to30 the filing of the petition.

31 (8) "Incapacitated person" means an adult for whom a32 guardian has been appointed.

33 (9) "Party" means the respondent, petitioner, guardian,
34 conservator or any other person allowed by the court to
35 participate in a guardianship or protective proceeding.

(10) "Person", except in the term "incapacitated person
or protected person", means an individual, corporation,
business trust, estate, trust, partnership, limited liability
company, association, joint venture, public corporation,
government or governmental subdivision, agency, or
instrumentality, or any other legal or commercial entity.

42 (11) "Protected person", for purposes of this chapter 43 only, means an adult for whom a protective order, as 44 defined in this section, has been issued. "Protected 45 person", as used in this chapter, has the meaning ascribed 46 to it in subsection thirteen-b, section four, article one, 47 chapter forty-four-a of this code.

48 (12) "Protective order", for purposes of this chapter 49 only and notwithstanding the meaning which the term 50 may have outside of this chapter, means an order appoint-51 ing a conservator or other order related to management of 52 an adult's property.

53 (13) "Protective proceeding" means a judicial proceed54 ing in which a protective order, as defined in this section,
55 is sought or has been issued.

56 (14) "Record" means information that is inscribed on a
57 tangible medium or that is stored in an electronic or other
58 medium and is retrievable in perceivable form.

(15) "Respondent" means an adult for whom a protec-tive order or the appointment of a guardian is sought.

61 (16) "Significant-connection state" means a state, other 62 than the home state, with which a respondent has a 63 significant connection other than mere physical presence 64 and in which substantial evidence concerning the respon-65 dent is available. In determining whether a respondent 66 has a significant connection with a particular state, the 67 court shall consider:

68 (A) The location of the respondent's family and other
69 persons required to be notified of the guardianship or
70 protective proceeding;

(B) The length of time the respondent at any time was
physically present in the state and the duration of any
absence;

74 (C) The location of the respondent's property; and

(D) The extent to which the respondent has ties to the
state such as voting registration, state or local tax return
filing, vehicle registration, driver's license, social relationship and receipt of services.

(17) "State" means a state of the United States, the
District of Columbia, Puerto Rico, the United States
Virgin Islands, a federally recognized Indian tribe or any
territory or insular possession subject to the jurisdiction of
the United States.

§44C-1-3. International application.

1 A court of this state may treat a foreign country as if it 2 were a state for the purpose of applying this act.

§44C-1-4. Communication between courts.

- 1 (a) A court of this state may communicate with a court
- 2 in another state concerning a proceeding arising under this
- 3 act. The court may allow the parties to participate in the
- 4 communication. Except as otherwise provided in subsec-
- 5 tion (b) of this section, the court shall make a record of the

6 communication. The record may be limited to the fact7 that the communication occurred.

6

8 (b) Courts may communicate concerning schedules,

9 calendars, court records and other administrative matters

10 without making a record.

§44C-1-5. Cooperation between courts.

(a) In a guardianship or protective proceeding in this
 state, a court of this state may request the appropriate
 court of another state to do any of the following:

4 (1) Hold an evidentiary hearing;

5 (2) Order a person in that state to produce evidence or6 give testimony pursuant to procedures of that state;

7 (3) Order that an evaluation or assessment be made of8 the respondent;

9 (4) Order any appropriate investigation of a person10 involved in a proceeding;

(5) Forward to the court of this state a certified copy of
the transcript or other record of a hearing under subdivision (1) of this subsection or any other proceeding, any
evidence otherwise produced under subdivision (2) of this
subsection and any evaluation or assessment prepared in
compliance with an order under subdivision (3) or (4) of
this subsection;

(6) Issue any order necessary to assure the appearance
in the proceeding of a person whose presence is necessary
for the court to make a determination, including the
respondent or the incapacitated or protected person;

(7) Issue an order authorizing the release of medical,
financial, criminal or other relevant information in that
state, including protected health information as defined in
45 C. F. R. Section 164.504, as amended.

(b) If a court of another state in which a guardianship
or protective proceeding is pending requests assistance of
the kind provided in subsection (a) of this section, a court
of this state has jurisdiction for the limited purpose of
granting the request or making reasonable efforts to
comply with the request.

§44C-1-6. Taking testimony in another state.

1 (a) In a guardianship or protective proceeding, in 2 addition to other procedures that may be available, 3 testimony of a witness who is located in another state may 4 be offered by deposition or other means allowable in this 5 state for testimony taken in another state. The court on its 6 own motion may order that the testimony of a witness be 7 taken in another state and may prescribe the manner in 8 which and the terms upon which the testimony is to be 9 taken.

10 (b) In a guardianship or protective proceeding, a court 11 in this state may permit a witness located in another state 12 to be deposed or to testify by telephone or audiovisual or 13 other electronic means. A court of this state shall cooper-14 ate with the court of the other state in designating an 15 appropriate location for the deposition or testimony.

(c) Documentary evidence transmitted from another
state to a court of this state by technological means that
do not produce an original writing may not be excluded
from evidence on an objection based on the best evidence
rule.

ARTICLE 2. JURISDICTION.

§44C-2-1. Exclusive basis.

- 1 Other provisions of this code notwithstanding, this
- 2 article provides the exclusive jurisdictional basis for a
- 3 court of this state to appoint a guardian or issue a protec-
- 4 tive order for an adult.

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§44C-2-2. Determination of jurisdiction.

1 A court of this state has jurisdiction to appoint a 2 guardian or issue a protective order for a respondent if:

3 (1) This state is the respondent's home state;

4 (2) On the date the petition is filed, this state is a 5 significant-connection state and:

6 (A) The respondent does not have a home state or a 7 court of the respondent's home state has declined to 8 exercise jurisdiction because this state is a more appropri-9 ate forum; or

10 (B) The respondent has a home state, a petition for an 11 appointment or order is not pending in a court of that 12 state or another significant-connection state and, before 13 the court makes the appointment or issues the order:

14 (i) A petition for an appointment or order is not filed in15 the respondent's home state;

(ii) An objection to the court's jurisdiction is not filedby a person required to be notified of the proceeding; and

18 (iii) The court in this state concludes that it is an19 appropriate forum under the factors set forth in section20 five of this article;

(3) This state does not have jurisdiction under either
subdivision (1) or (2) of this section, the respondent's home
state and all significant-connection states have declined to
exercise jurisdiction because this state is the more appropriate forum and jurisdiction in this state is consistent
with the constitutions of this state and the United States;
or

28 (4) The requirements for special jurisdiction under29 section three of this article are met.

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§44C-2-3. Special jurisdiction.

(a) A court of this state lacking jurisdiction under
 section two of this article has special jurisdiction to do any
 of the following:

4 (1) Appoint a guardian in an emergency for a term not
5 exceeding ninety days for a respondent who is physically
6 present in this state;

7 (2) Issue a protective order with respect to real or8 tangible personal property located in this state;

9 (3) Appoint a guardian or conservator for an incapaci-10 tated or protected person for whom a provisional order to 11 transfer the proceeding from another state has been issued 12 under procedures similar to those provided in section one, 13 article three of this chapter.

14 (b) If a petition for the appointment of a guardian in an

15 emergency is brought in this state and this state was not

16 the respondent's home state on the date the petition was

17 filed, the court shall dismiss the proceeding at the request

18 of the court of the home state, if any, whether dismissal is

19 requested before or after the emergency appointment.

§44C-2-4. Exclusive and continuing jurisdiction.

1 Except as otherwise provided in section three of this

2 article, a court that has appointed a guardian or issued a

3 protective order consistent with this act has exclusive and

4 continuing jurisdiction over the proceeding until it is

5 terminated by the court or the appointment or order

6 expires by its own terms.

§44C-2-5. Appropriate forum.

- 1 (a) A court of this state having jurisdiction under
- 2 section one, article one, chapter forty-four-a of this code
- 3 or section two of this article to appoint a guardian or issue
- 4 a protective order may decline to exercise its jurisdiction

5 if it determines at any time that a court of another state is

6 a more appropriate forum.

7 (b) If a court of this state declines to exercise its juris-8 diction under subsection (a) of this section, it shall either 9 dismiss or stay the proceeding. The court may impose any 10 condition the court considers just and proper, including 11 the condition that a petition for the appointment of a 12 guardian or issuance of a protective order be filed 13 promptly in another state.

14 (c) In determining whether it is an appropriate forum,15 the court shall consider all relevant factors, including:

16 (1) Any expressed preference of the respondent;

17 (2) Whether abuse, neglect or exploitation of the
18 respondent has occurred or is likely to occur and which
19 state could best protect the respondent from the abuse,
20 neglect or exploitation;

(3) The length of time the respondent was physicallypresent in or was a legal resident of this or another state;

23 (4) The distance of the respondent from the court in24 each state;

(5) The financial circumstances of the respondent'sestate;

27 (6) The nature and location of the evidence;

(7) The ability of the court in each state to decide the
issue expeditiously and the procedures necessary to
present evidence;

31 (8) The familiarity of the court of each state with the32 facts and issues in the proceeding; and

(9) If an appointment were made, the court's ability tomonitor the conduct of the guardian or conservator.

§44C-2-6. Jurisdiction declined by reason of conduct.

(a) If at any time a court of this state determines that it
 acquired jurisdiction to appoint a guardian or issue a
 protective order because of unjustifiable conduct, the
 court may:

5 (1) Decline to exercise jurisdiction;

6 (2) Exercise jurisdiction for the limited purpose of 7 fashioning an appropriate remedy to ensure the health, 8 safety and welfare of the respondent or the protection of 9 the respondent's property or prevent a repetition of the 10 unjustifiable conduct, including staying the proceeding 11 until a petition for the appointment of a guardian or 12 issuance of a protective order is filed in a court of another 13 state having jurisdiction; or

14 (3) Continue to exercise jurisdiction after considering:

(A) The extent to which the respondent and all persons
required to be notified of the proceedings have acquiesced
in the exercise of the court's jurisdiction;

(B) Whether it is a more appropriate forum than thecourt of any other state under the factors set forth insubsection (c), section five of this article; and

(C) Whether the court of any other state would have
jurisdiction under factual circumstances in substantial
conformity with the jurisdictional standards of section
two of this article.

(b) If a court of this state determines that it acquired jurisdiction to appoint a guardian or issue a protective order because a party seeking to invoke its jurisdiction engaged in unjustifiable conduct, it may assess against that party necessary and reasonable expenses, including attorney's fees, investigative fees, court costs, communication expenses, witness fees and expenses, and travel 32 expenses. The court may not assess fees, costs or expenses

33 of any kind against this state or a governmental subdivi-

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34 sion, agency or instrumentality of this state unless autho-

35 rized by law other than this act.

§44C-2-7. Notice of proceeding.

If a petition for the appointment of a guardian or 1 2 issuance of a protective order is brought in this state and 3 this state was not the respondent's home state on the date 4 the petition was filed, in addition to complying with the 5 notice requirements of this state, notice of the petition 6 must be given to those persons who would be entitled to 7 notice of the petition if a proceeding were brought in the 8 respondent's home state. The notice must be given in the 9 same manner as notice is required to be given in this state.

§44C-2-8. Proceedings in more than one state.

- 1 Except for a petition for the appointment of a guardian
- 2 in an emergency or issuance of a protective order limited 3 to property located in this state under section three of this
- 4 article, if a petition for the appointment of a guardian or
- 5 issuance of a protective order is filed in this state and in
- 6 another state and neither petition has been dismissed or
- 7 withdrawn, the following rules apply:

8 (1) If the court in this state has jurisdiction under 9 section two of this article, it may proceed with the case 10 unless a court in another state acquires jurisdiction under 11 provisions similar to said section before the appointment 12 or issuance of the order.

(2) If the court in this state does not have jurisdiction 13 14 under section two of this article, whether at the time the 15 petition is filed or at any time before the appointment or 16 issuance of the order, the court shall stay the proceeding 17 and communicate with the court in the other state. If the 18 court in the other state has jurisdiction, the court in this 19 state shall dismiss the petition unless the court in the other

20 state determines that the court in this state is a more

21 appropriate forum.

ARTICLE 3. TRANSFER OF GUARDIANSHIP OR CONSERVATORSHIP.

§44C-3-1. Transfer to another state.

(a) A guardian or conservator appointed in this state
 may petition the court to transfer the guardianship or
 conservatorship to another state.

4 (b) Notice of a petition under subsection (a) of this 5 section must be given to the persons who would be entitled 6 to notice of a petition in this state for the appointment of 7 a guardian or conservator.

8 (c) On the court's own motion or on request of the 9 guardian or conservator, the incapacitated or protected 10 person, or other person required to be notified of the 11 petition, the court shall hold a hearing on a petition filed 12 pursuant to subsection (a) of this section.

(d) The court shall issue an order provisionally granting
a petition to transfer a guardianship and shall direct the
guardian to petition for guardianship in the other state if
the court is satisfied that the guardianship will be accepted by the court in the other state and the court finds
that:

(1) The incapacitated person is physically present in or
is reasonably expected to move permanently to the other
state;

(2) An objection to the transfer has not been made or, if
an objection has been made, the objector has not established that the transfer would be contrary to the interests
of the incapacitated person; and

26 (3) Plans for care and services for the incapacitated27 person in the other state are reasonable and sufficient.

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(e) The court shall issue a provisional order granting a
petition to transfer a conservatorship and shall direct the
conservator to petition for conservatorship or a protective
order in the other state if the court is satisfied that the
conservatorship will be accepted by the court of the other
state and the court finds that:
(1) The protected person is physically present in or is
reasonably expected to move permanently to the other
state or the protected person has a significant connection

37 to the other state;

(2) An objection to the transfer has not been made or, if
an objection has been made, the objector has not established that the transfer would be contrary to the interests
of the protected person; and

42 (3) Adequate arrangements will be made for manage-43 ment of the protected person's property.

44 (f) The court shall issue a final order confirming the
45 transfer and terminating the guardianship or conservator46 ship upon its receipt of:

47 (1) A provisional order accepting the proceeding from
48 the court to which the proceeding is to be transferred
49 which is issued under provisions similar to section two of
50 this article; and

51 (2) The documents required to terminate a guardianship52 or conservatorship in this state.

§44C-3-2. Accepting guardianship or conservatorship transferred from another state.

- 1 (a) To confirm transfer of a guardianship or conserva-
- 2 torship transferred to this state under provisions similar
- 3 to section one of this article, the guardian or conservator
- 4 must petition the court in this state to accept the guard-
- 5 ianship or conservatorship. The petition must include a

6 certified copy of the other state's provisional order of7 transfer.

8 (b) Notice of a petition under subsection (a) of this 9 section must be given to those persons that would be 10 entitled to notice if the petition were a petition for the 11 appointment of a guardian or issuance of a protective 12 order in both the transferring state and this state. The 13 notice must be given in the same manner as notice is 14 required to be given in this state.

(c) On the court's own motion or on request of the
guardian or conservator, the incapacitated or protected
person, or other person required to be notified of the
proceeding, the court shall hold a hearing on a petition
filed pursuant to subsection (a) of this section.

20 (d) The court shall issue an order provisionally granting21 a petition filed under subsection (a) of this section unless:

(1) An objection is made and the objector establishes
that transfer of the proceeding would be contrary to the
interests of the incapacitated or protected person; or

(2) The guardian or conservator is ineligible for ap-pointment in this state.

(e) The court shall issue a final order accepting the
proceeding and appointing the guardian or conservator as
guardian or conservator in this state upon its receipt from
the court from which the proceeding is being transferred
of a final order issued under provisions similar to section
one of this article transferring the proceeding to this state.

(f) Not later than ninety days after issuance of a final
order accepting transfer of a guardianship or conservatorship, the court shall determine whether the guardianship
or conservatorship needs to be modified to conform to the
law of this state.

38 (g) In granting a petition under this section, the court 39 shall recognize a guardianship or conservatorship or 40 protective order from the other state, including the 41 determination of the incapacitated or protected person's 42 incapacity and the appointment of the guardian or conser-43 vator.

(h) The denial by a court of this state of a petition to
accept a guardianship or conservatorship transferred from
another state does not affect the ability of the guardian or
conservator to seek appointment as guardian or conservator in this state under article two, chapter forty-four-a of
this code if the court has jurisdiction to make an appointment other than by reason of the provisional order of
transfer.

ARTICLE 4. REGISTRATION AND RECOGNITION OF ORDERS FROM OTHER STATES.

§44C-4-1. Registration of guardianship orders.

- 1 If a guardian has been appointed in another state and
- 2 a petition for the appointment of a guardian is not pending3 in this state, the guardian appointed in the other state,
- 4 after giving notice to the appointing court of an intent to
- 5 register, may register the guardianship order in this state
- 6 by filing as a foreign judgment in a court, in any appropri-
- 7 ate county of this state, certified copies of the order and
- 8 letters of office.

§44C-4-2. Registration of protective orders.

I If a conservator has been appointed in another state and a petition for a protective order is not pending in this state, the conservator appointed in the other state, after giving notice to the appointing court of an intent to register, may register the protective order in this state by filing as a foreign judgment in a court of this state, in any county in which property belonging to the protected 8 person is located, certified copies of the order and letters

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9 of office and of any bond.

§44C-4-3. Effect of registration.

(a) Upon registration of a guardianship or protective
order from another state, the guardian or conservator may
exercise in this state all powers authorized in the order of
appointment except as prohibited under the laws of this
state, including maintaining actions and proceedings in
this state and, if the guardian or conservator is not a
resident of this state, subject to any conditions imposed
upon nonresident parties.

9 (b) A court of this state may grant any relief available 10 under this act and other law of this state to enforce a 11 registered order.

ARTICLE 5. MISCELLANEOUS PROVISIONS.

§44C-5-1. Uniformity of application and construction.

- 1 In applying and construing this uniform act, consider-
- 2 ation must be given to the need to promote uniformity of
- 3 the law with respect to its subject matter among states
- 4 that enact it.

§44C-5-2. Relation to electronic signatures in Global and National Commerce Act.

- 1 This act modifies, limits and supersedes the federal
- 2 Electronic Signatures in Global and National Commerce
- 3 Act, 15 U.S.C. Section 7001, et seq., but does not modify,
- 4 limit or supersede Section 101(c) of said act, 15 U.S.C.
- 5 Section 7001(c), or authorize electronic delivery of any of
- 6 the notices described in Section 103(b) of said act, 15 U.S.
- 7 C. Section 7003(b).

§44C-5-3. Transitional provision.

1 (a) This act applies to guardianship and protective 2 proceedings begun on or after the effective date of this 3 chapter as enacted by the seventy-ninth Legislature of4 West Virginia in 2009.

5 (b) Articles one, three and four and sections five 6 hundred one and five hundred two of this article apply to 7 proceedings begun before the effective date, regardless of 8 whether a guardianship or protective order has been 9 issued.

The Joint Committee on Enrolled Bills hereby certifies that the foregoing will is correctly enrolled.

Chairman Senate Committee 101

Chairman House Committee

Originated in the Senate.

In effect ninety days from passage.

Clerk of the Senate

Bruy 2. by Clerk of the House of Delegates

Presiden of the Senate

Speaker House of Delegates

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PRESENTED TO THE GOVERNOR MAY 1 2009 Time 3:50 pm